

**Response F Notice To File Missing Parts Of Application**  
**Filing Date Granted (PTO-1533)(Small Entity)**

Docket No. **#9**  
**PES-0041**

In Re Application Of: **A. JOHN SPERANZA et al.**

**COPY OF PAPERS  
ORIGINALLY FILED**

Serial No.  
**09/963,978**

Filing Date  
**September 26, 2001**

Examiner

Group/Art Unit

Invention: **INTEGRAL MEMBRANE SUPPORT AND FRAME STRUCTURE**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
**October 24, 2001**

*Date*

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ 1 verified small entity declaration(s)
  - ☒ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☒ Other (list):

**Postcard Receipt**

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PES-0041

In Re Application Of: A. JOHN SPERANZA et al.

JAN 28 2002

PATENT &amp; TRADEMARK OFFICE

Serial No.  
09/963,978Filing Date  
September 26, 2001

Examiner

Group Art Unit

Invention: INTEGRAL MEMBRANE SUPPORT AND FRAME STRUCTURE


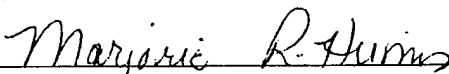
TO THE ASSISTANT COMMISSIONER FOR PATENTS:Attention: Manager, Application Branch☒ Completion of application fees as calculated below:☒ Utility application filing fee \$370.00☐ Design application filing fee \_\_\_\_\_☒ Total number of independent claims = 5 \$84.00☒ Total number of claims = 28 \$72.00☐ Multiple dependent claims \_\_\_\_\_☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00☐ Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_☐ Fee for processing and retention of application \_\_\_\_\_Total completion of application fees \$591.00

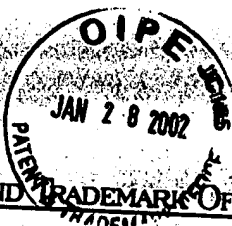
This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five monthsfrom: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due \$591.00

<b>Response To Notice To File Missing Parts Of Application</b> <b>Filing Date Granted (PTO-1533) (Small Entity)</b>			Docket No. <b>PES-0041</b>
In Re Application Of: <b>A. JOHN SPERANZA et al.</b>			
Serial No. <b>09/963,978</b>	Filing Date <b>September 26, 2001</b>	Examiner <b>TRA</b>	Group Art Unit
Invention: <b>INTEGRAL MEMBRANE SUPPORT AND FRAME STRUCTURE</b>			
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u> <u>Attention: Manager, Application Branch</u>			
<p>The fee of <b>\$591.00</b> is to be paid as follows:</p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> A check in the amount of the fee is enclosed.</li> <li><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. <b>06-1130</b> A duplicate copy of this sheet is enclosed.</li> <li><input checked="" type="checkbox"/> If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. <b>06-1130</b> A duplicate copy of this sheet is enclosed.</li> </ul>			
 <div style="text-align: center;"><i>Signature</i></div>		Dated: <b>November 2, 2001</b>	
<b>Leah M. Reimer</b> <b>Registration No. 39,341</b> <b>Customer No. 23413</b>		<div style="border: 1px solid black; padding: 5px;"> I certify that this document and fee is being deposited on <b>November 2, 2001</b> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.    <div style="text-align: center;"><i>Signature of Person Mailing Correspondence</i></div> </div> <div style="text-align: center; margin-top: 5px;"> <b>Marjorie R. Humes</b>  <i>Typed or Printed Name of Person Mailing Correspondence</i> </div>	
CC:			



COPY OF PAPERS  
ORIGINALLY FILED

Page 1 of 1

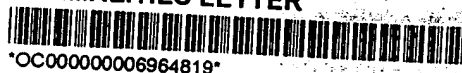
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER 09/963,978	FILING/RECEIPT DATE 09/26/2001	FIRST NAMED APPLICANT A. John Speranza	ATTORNEY DOCKET NUMBER PES-0041
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CANTOR COLBURN LLP  
55 Griffin Road South  
Bloomfield, CT 06002

CONFIRMATION NO. 1532  
FORMALITIES LETTER



Date Mailed: 10/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/30/2002 SMINASS1 00000038 09963978

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:203	72.00 OP
03 FC:202	84.00 OP
04 FC:205	65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$152.
  - \$72 for 8 total claims over 20.
  - \$80 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 572.

*A copy of this notice **MUST** be returned with the reply.*

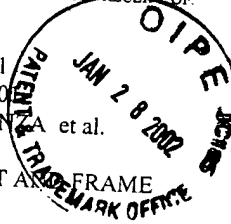
*Tedus*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

THE STAMP OF THE PATENT OFFICE, PLACED HEREON, ACKNOWLEDGES RECEIPT OF:

Attorney Docket No.: PES-0041  
Date Mailed: November 2, 2001  
Date Filed: September 26, 2001  
Applicant: A. JOHN SPERANZA et al.  
Serial No.: 09/963,978



For: INTEGRAL MEMBRANE SUPPORT AND FRAME  
STRUCTURE

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Response to Notice to File Missing Parts (3 pages); copy of Notice (1 page); Declaration and Power of Attorney (2 pages); Small Entity Statement (2 pages); Filing/Surcharge Fee \$591.00 (check); and Postcard.

Mailed to USPTO via First Class mail on: November 2, 2001

Received in the USPTO on: